• Gain understanding of stakeholder preferences on proposals
• Look for alignment between phase 1 and phase 2 proposals
• Will be used to help guide task force voting
• Total Responses: 139
• Some questions permitted multiple choices
  – These are noted in the results
• All comments are compiled in a separate Word document
Which Phase 1 components are most important to you?

- Retaining Day-Ahead uplift (32%)
- Removing Day-Ahead uplift (7%)
- Completing the changes regarding LOC payments (28%)
- The Phase 1 changes are not important to me (33%)

N=174
Multiple choices were permitted
Should the concept of Day-Ahead Operating Reserves credits be retained, or should all uplift be calculated based on Real-Time operator actions?

- All uplift should be calculated based on Real-Time operator actions (41%)
- Day-Ahead Operating Reserves credit concept remains in place (59%)

N=136
Do you think virtual transactions (INCs, DECs and UTCs) should be allocated uplift differently than physical transactions such as generation, load and external transactions?

- Yes: 40%
- No: 60%

N=134
Should all virtual transactions be treated the same with respect to allocation of uplift, for example, each receives an identical per MWh charge based on either a rate or a fixed fee?

- Yes: 62%
- No: 38%

N=134
If a fee were to be imposed, should it be fixed or variable (based on some factor)?

- Fixed: 24%
- Variable: 76%

N=136
If a fee were to be imposed, should it be the same across the RTO, or should it be regional or zonal?

- Regional or zonal: 37%
- Same across the RTO: 63%

N=134
If virtual transactions are assessed a cost allocation, should UTCs be treated as one or two transactions?

- One transaction: 61%
- Two transactions: 39%

N=134
Should the concept of allocating uplift to deviations between Day Ahead scheduled MWh and real-time actual MWh be retained?

- Yes: 75%
- No: 25%

N=131
Regarding the granularity of uplift cost allocation not related to day-ahead, reactive, or blackstart, what is the appropriate level of granularity?

- **Regional approach similar to today (East/West)**: 41%
- **Zonal**: 14%
- **Constraint and transaction specific (i.e. MISO methodology)**: 22%
- **RTO-wide allocation**: 9%
- **Other (please specify)**: 14%

N=131
With respect to Balancing Operating Reserves, are the Deviations and Reliability buckets in today’s model the appropriate way to allocate uplift costs?

- Yes: 72%
- No: 28%

N=131
Do you feel today’s methodology is allocating costs appropriately?

- Yes: 45%
- No: 55%

N=116
Do you believe that reactive and blackstart costs should be allocated differently than Balancing Operating Reserves? Today these costs are allocated to either RTO or zonal load depending on the scenario.

- Yes: 57%
- No: 43%

N=131
Regarding the concept of netting of transactions for the purpose of determining cost allocations: Should netting be allowed?

- Yes: 96%
- No: 4%

N=131
If you prefer to allow netting: should netting be limited to a single sub-account, across a single member’s accounts, or across all of a corporate family’s accounts?

- Limited to a single sub-account: 47%
- Across all of a corporate family’s accounts: 28%
- Across a single member’s accounts: 25%

N=126
If you prefer to allow netting: Should imports and exports be allowed to net like they do today for wheel transactions?

- Yes: 63%
- No: 37%

N=126
If you prefer to allow netting: Should supply and demand transactions be allowed to net?

- Yes: 72%
- No: 28%

N=126
What is the appropriate limitation on netted supply and demand transactions?

- Node: 40%
- Zone: 30%
- RTO Wide: 27%
- Region: 3%

N=92
Do you think there should be a combined vote on phase 1 and phase 2?

- 54% for Combined vote
- 46% for Vote phase 1 and phase 2 separately

N=130
Which Phase 1 Package(s) do you support?

- A - PJM: 33%
- B - PJM: 22%
- E - IMM: 24%
- G - PSEG: 13%
- H - Dominion: 8%

N=233
Multiple choices were permitted
Which Phase 2 Package(s) do you support?

- B - Financial Marketers: 27%
- C - IMM: 19%
- D - Twin Cities Power: 8%
- E - CitiGroup: 8%
- F - PJM: 6%
- G - PJM: 3%
- H - PJM: 11%
- I - Red Wolf / XO: 8%
- J - Financial Marketers: 3%
- K - Dominion: 7%
- L - AEP: 3%

N=271
Multiple choices were permitted