



Market Participant Application User Guide

October 2022

Test Affiliate Co. #2 - Market Participant Application

Name of applicant company: Test Affiliate Co. #2 **Membership Type:** Affiliate Member

Employer Identification Number (EIN): 984984984

*Required

*Provide a descriptive overview of the intended participation in the PJM Markets.

Test

*Provide estimate of transactional activity for the intended PJM Markets and services for the first year (52 weeks).

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Accepted file types: doc, docx, xls, xlsx, pdf

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*Select the Market(s) you would like to participate in:

- Day-Ahead and/or Real-Time Energy Markets
- Reliability Pricing Model
- Regulation Market
- Reserve Markets
- Financial Transmission Right (FTR) Market and/or Auction Revenue Rights (ARRs)
- Other

*Other Market Description:

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Applicant will provide the intended Market Participation on this page.

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* Required

* Select how you will participate in the Day-Ahead and/or Real-Time Energy Market:

Market Seller

- Generation Owner
- Economic Load Response Participant (e.g. Curtailment Service Provider)
- Price Responsive Demand (PRD) Provider
- Energy Storage Resource
- Applicable agent for Market Seller

Do you plan to have any agreements such as a Purchase Power Agreement (PPA) or similar in place? If so, please explain below.

Test

Market Buyer

- Load Serving Entity
- Market Buyer other than a Load Serving Entity
- Applicable agent for Market Buyer

[Reset Market Buyer Selection](#)

- Virtual Transactions (Increment Offer, Decrement Bid, Up-to Congestion Transaction) Market Participant
- Coordinated Transaction Scheduling Market Participant
- Other

* Other Day-Ahead and/or Real-time Energy Market Description

Test

If the applicant selected Day Ahead and/or Real Time Energy Market on the Intended Participation Page, then this page will appear.

- Applicant can select none and more than one option under the Market Seller category.
- Applicant can select none or only one option under Market Buyer.
- Applicant can select the Virtual Transactions, CTS, or Other categories.

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* Required

* Select how you will participate in the Reliability Pricing Model Market:

Capacity Market Buyer in PJM Reliability Pricing Model

Load Serving Entity

Capacity Market Seller purchasing replacement MWs

* Do you plan to implement Peak Shaving activities?

Yes

No

Capacity Market Seller in PJM Reliability Pricing Model

Demand Resource/Curtailment Service Provider (Pre-Emergency Load Response or Emergency Load Response)

Planned Generation Capacity Resource

Existing Generation Capacity Resource

Energy Efficiency Resource

Capacity Storage Resource

Price Responsive Demand

Fixed Resource Requirement (FRR) Entity

Reliability Pricing Model transaction participant (unit specific MW, auction specific MW, cleared buy bid, Locational UCAP)

Other

* Other Reliability Pricing Model Market Description

Test

The Applicant is applying for approval to become a Market Seller in the Capacity Market and meets the stated requirement.

* Owns, or has the contractual authority to control the output or load reduction capability of, a Capacity Resource, and has not transferred such authority to another entity.

If the applicant selected Reliability Pricing Model on the Intended Participation Page, then this page will appear.

- Applicant can select any combination of the checkboxes as well as more than one under the Capacity Market Seller/Capacity Market Buyer categories.
- Applicant can select FRR, RPM transaction, or Other categories.

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* Required

* Select how you will participate in the Regulation Market:

Market Seller - Generation Owner

Regulation-only resource

Energy and Regulation resource

Market Seller – Load Response (Curtailment Service Provider)

Regulation-only resource

Energy and Regulation resource

Market Seller - Energy Storage Resource

Regulation-only resource

Energy and Regulation resource

Regulation bilateral transaction participant

Other

* Other Market Regulation Description

Test

If the applicant selected Regulation Market on the Intended Participation Page, then this page will appear.

- Applicant can select any combination of the checkboxes and more than one under the Market Seller categories.
- Applicant can select Regulation bilateral transaction participant or Other categories.
- At least one of the checkbox options is required.

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* Required

* Select how you will participate in the Reserves Market(s):

- Market Seller - Generation Owner
- Market Seller – Load Response (Curtailment Service Provider)
- Market Seller - Energy Storage Resource
- Synchronized Reserve bilateral transaction participant
- Other

* Other Day-Ahead Scheduling Market, Synchronized, Non-Synchronized Market Description

Test

If the applicant selected Reserve Markets on the Intended Participation Page, then this page will appear.

Applicant can select any combination of the checkboxes.

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* Required

* Select how you will participate in the FTR Market:

- FTR Auction Participant
- FTR Secondary Trading Participant (bilaterals)
- ARR Participant
- Other

* Other Financial Transmission Right (FTR) Market Description

Test

* Check any that apply to the intent for participation in the FTR Market:

- Hedge transactions in PJM Interchange Energy Market of physical energy or Ancillary Services that result in actual flow of energy.
- Mitigate commercial risk.
- Other

* Other Intent to Participate in FTR Market Description

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If the applicant selected Financial Transmission Right (FTR) Market on the Intended Participation Page, then this page will appear.

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* Required

Select applicable options for Load Serving Entity or FRR Entity.

* Indicate whether you are a Load Serving Entity or purchasing on behalf of a Load Serving Entity:

- Load Serving Entity
- Purchasing on behalf of, or for ultimate delivery to, a Load Serving Entity

* Also indicate whether:

- End-users of energy and related services purchased from PJM are located electrically within the PJM Region
- End-users of energy and related services purchased from PJM will be located electrically within the PJM Region prior to purchase of energy and related services from PJM

Also confirm:

* The Load Serving Entity for the end users has or will have arrangements in place for Network Transmission Service or Point to Point Transmission Service for PJM Interchange Energy Purchases.

Also confirm:

* I confirm that I understand that the Load Serving Entity for the end users is obligated to meet the requirements of the Reliability Assurance Agreement, as applicable.

* Provide the EDC/Zone/Utilities where you are planning to serve load.

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If the applicant selected Load Serving Entity or Applicable Agent for Market Buyer on the Energy Market Page and/or if the applicant selected Load Serving Entity or FRR Entity on the Capacity Market Page, then this page will appear.

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* Required

Market Buyer - non-Load Serving Entities

* Select applicable options for non-Load Serving Entities or entities not purchasing on behalf of or for ultimate delivery to a Load Serving Entity.

- Has obtained Network Transmission Service or Point to Point Transmission Service for all PJM Interchange Energy Market purchases.
- Will obtain Network Transmission Service or Point to Point Transmission Service for all PJM Interchange Energy Market purchases.

* The Applicant's PJM Interchange Energy Market purchases will ultimately be delivered to a load in another Control Area that is recognized by NERC and that complies with NERC's standards for operating and planning reliable bulk power systems.

If the applicant selected the option of 'Market Buyer Other than Load Serving Entity' on the Energy Market Page, this page will appear.

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*Required

Economic Load Response and Demand Response Participants Check any that apply:

- Has the ability to cause a reduction in demand as metered on an electric distribution company account basis (or for non-interval metered residential Direct Load Control customers, as metered on a statistical sample of electric distribution company accounts utilizing current data, as described in the PJM Manuals).
- Has an On-Site Generator that enables demand reduction.
- Complies with the requirements of the applicable Relevant Electric Retail Regulatory Authority and all other applicable federal, state and local regulatory entities together with Operating Agreement, Schedule 1, section 1.5A.

If the applicant selected Economic Load Response on the Energy Market Page or if they selected Demand Resource on the Capacity Market Page and/or if they selected Demand Resource on the Regulation Page or if they selected Demand Resource on the Reserves Page, this page should appear.

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* Required

State/Federal Requirements

* Is authorized by applicable state and federal law to make purchases and/or sales of energy, capacity and/or ancillary services for which it is seeking to do in the PJM Markets.

*** Select the status of your application to the Federal Energy Regulatory Commission ("FERC") for market-based rate authority:**

- Has obtained FERC approval of a market-based rate tariff in FERC Docket No.
- Has filed an application with FERC seeking approval of a market-based rate tariff.
- Expects to file an application with FERC seeking approval of a market-based rate tariff.
- FERC application for market-based rate authority not required.

*** FERC Docket Number:**

*** Select the status of any applicable state regulatory authority authorization:**

- Has received approval from the applicable state regulatory authority for any necessary authorization(s) in Case No
- Has filed an application with the applicable state regulatory authority for any necessary authorization(s) in Case No
- Expects to file an application with the applicable state regulatory authority for any necessary authorization(s).
- State regulatory authorization not required.

*** Case Number:**

The Applicant has reviewed and fully understands the applicable market participation requirements of the Tariff, Operating Agreement, and the RAA.

* Applicant is capable of complying with all applicable metering, data storage and transmission, and other reliability, operation, planning and accounting standards and requirements for the operation of the PJM Region and the PJM Markets, as applicable

Applicant will only select one option per question

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* Required

Select applicable options.

Market Seller Requirements:

*The Applicant is applying for approval to become a Market Seller

- Meets the standards for the issuance of an order mandating the provision of Transmission Service under section 211 of the Federal Power Act.
- Able to submit Offer Data to PJM in accordance with the Tariff and Operating Agreement requirements.

Resource Requirements:

*The Applicant's resources used for market participation are:

- Located within the physical boundaries of the PJM Region.
- Located outside of the physical boundaries of the PJM Region.
 - Are electrically located in the PJM Region via pseudo-tie.
 - Are electrically located in the PJM Region via dynamic schedule.
 - Will be electrically located in the PJM Region via pseudo-tie after approval by PJM.
 - Will be electrically located in the PJM Region via dynamic schedule after approval by PJM.

If the applicant selects:

- 1.) any of the options under Market Seller on the Energy Markets Page and/or
- 2.) any of the options under Capacity Market Seller in PJM Reliability Pricing Model on the Capacity Market Page and/or
- 3) FRR Entity on the Capacity Market Page and/or
- 4) any of the Market Seller options on the Reserves Market Page and/or
- 5) any of the Market Seller options on the Regulation Page

this page will appear

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By checking this box, Applicant certifies that it has read, understands, and complies with all applicable requirements under the PJM Open Access Transmission Tariff ("Tariff"), the Amended and Restated Operating Agreement of PJM Interconnection, L.L.C. ("Operating Agreement"), the Reliability Assurance Agreement Among Load-Serving Entities in the PJM Region ("RAA"), and the regulations and orders of the Federal Energy Regulatory Commission ("FERC"), necessary to conduct the market activities specified above. Applicant acknowledges that PJM retains the ability to refer Applicant to the PJM Independent Market Monitor and the FERC Office of Enforcement for violations of the aforementioned requirements. By affixing a signature below, Applicant and the undersigned acknowledge their understanding that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authorization(s) granted pursuant to the Application. This Application is subject to all applicable state and federal laws and regulations pertaining to falsification of documents by a public utility or other entity participating in wholesale energy markets and otherwise.

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