

PJM Compliance Bulletin

CB028, NERC Standard FAC-001 - Facilities within a BA Area.

General

NERC Standard FAC-001, requirements R3.3 require that each Transmission Owner shall have procedures for confirming that their new Facilities or existing Facilities seeking to make a qualified change are within a Balancing Authority (BA) Area.

NERC Standard FAC-001, requirements R4.3 require that each applicable Generator Owner shall have procedures for confirming that their new Facilities or existing Facilities seeking to make a qualified change as defined by the Planning Coordinator (PC) are within a Balancing Authority (BA) Area.

PJM assesses facilities within its Balancing Authority Area through the requirements in its PJM Open Access Transmission Tariff (OATT), rules around the PJM Regional Transmission Expansion Process, and the New Services Request process. The documentation associated with meeting Tariff requirements provides “audit quality” evidence of compliance.

Background

The preamble to the PJM Open Access Transmission Tariff (OATT) Part IV and Part VIII, Subpart A, Section 401 state, in part, that an Interconnection Customer or Project Developer that proposes to interconnect to the Transmission System in the PJM Region, ***shall*** request interconnection with the Transmission System pursuant to, and ***shall*** comply with, the terms, conditions, and procedures set forth in Part IV and Part VIII of the Tariff. The OATT goes on to state that “Interconnection Customer” shall mean a Generation Interconnection Customer and/or a Transmission Interconnection Customer and that a Project Developer shall mean a Generation Project Developer or a Transmission Project Developer.

As a result, all new facilities that seek interconnection to, or upgrade facilities on, the PJM system (BA) must come through the interconnection process. All such approved projects are given an Interconnection Service Agreement and/or an Upgrade Construction Service Agreement (ISA and UCSA, respectively), or a Generation Interconnection Agreement (GIA) and this documentation is sent to FERC for their review and approval.

Conclusion

Using the information above, it is PJM’s opinion that the presence of any one of PJM’s New Service Agreements (ISA, UCSA, GIA) can be used as evidence of compliance of adherence to NERC Standard FAC-001. A PJM issued ISA/UCSA/GIA is FERC approved evidence that can be used by each Interconnection Customer or Project Developer as confirmation that their new

Facilities or existing Facilities with qualified change as defined by PJM as the Planning Coordinator, are within the PJM Balancing Authority Area.

Development Revision History

Rev	Date	Author	SME / Reviewers	Approver	Reason for change
3	1/1/26	Gizella Mali, Lead Analyst FERC & Reliability Compliance	Jason Shoemaker, Director Interconnection Analysis, Tom Foster, Sr. Manager FERC & Reliability Compliance, FERC & Reliability Compliance, RSCS	Michael Del Viscio, Sr. Director, Compliance & Reliability Standards	Periodic review. Updated formatting Revision History Table.
2	1/1/24	Gizella Mali, Lead Analyst NERC Compliance	Jason Shoemaker, Manager Interconnection Projects, Tom Foster, Manager NERC Compliance, Stanley Sliwa, Lead Engineer-Transmission Planning, Mark Kuras, Sr. Lead Engineer – NERC Compliance, Elizabeth Davis, Lead Analyst – NERC Compliance, RSCS	Michael Del Viscio, Sr. Director, Compliance & Reliability Standards	Periodic review. Throughout document: updated language to address revisions with FAC-001-4 effective 01/01/2024 and revised processes addressed in the Tariff
1	10/5/21	Gizella Mali, Sr. Analyst NERC Compliance	Jason Shoemaker, Manager Interconnection Projects, Tom Foster, Manager NERC Compliance, PJM NERC Compliance Team	Michael Del Viscio, Sr. Director, Compliance & Reliability Standards	Regularly scheduled review. Minor errata change. Team considered retirement of this bulletin but will continue to maintain it to assist the TO/GO with compliance.
0	11/7/18	Thomas Moleski, Senior Compliance Specialist Reliability Compliance Department	Mark Holman, Manager Reliability Compliance David Egan, Manager Interconnection Projects	Robert Eckenrod, Chief Compliance Officer Reliability and Compliance	This is a new Compliance Bulletin

Revision: 2	Date: 01/01/2024
SME:	Jason Shoemaker, Manager Interconnection Projects
Author:	Gizella Mali, Lead Analyst NERC Compliance
Reviewers:	Tom Foster, Manager NERC Compliance, Stanley Sliwa, Lead Engineer-Transmission Planning, Mark Kuras, Sr. Lead Engineer – NERC Compliance, Elizabeth Davis, Lead Analyst – NERC Compliance Reliability Standards & Compliance Subcommittee
Approver:	Michael Del Viscio, Sr. Director, Compliance & Reliability Standards
Reason:	Periodic review. Throughout document: updated language to address revisions with FAC-001-4 effective 01/01/2024 and revised processes addressed in the Tariff.

Revision: 1	Date: 10/05/2021
SME:	Jason Shoemaker, Manager Interconnection Projects
Author:	Gizella Mali, Sr. Analyst NERC Compliance
Reviewers:	Tom Foster, Manager NERC Compliance, PJM NERC Compliance Team
Approver:	Michael Del Viscio, Sr. Director, Compliance & Reliability Standards
Reason:	Regularly scheduled review. Minor errata change. Team considered retirement of this bulletin but will continue to maintain it to assist the TO/GO with compliance.

Revision: 0	Date: 11/7/2018
Author:	Thomas Moleski, Senior Compliance Specialist Reliability Compliance Department
Reviewers:	Mark Holman, Manager Reliability Compliance David Egan, Manager Interconnection Projects
Approver:	Robert Eckenrod, Chief Compliance Officer Reliability and Compliance
Reason	This is a new Compliance Bulletin