Quarterly Unaudited Financials User Guide



Quarterly Unaudited Financials - User Guide

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Overview

Pursuant to Attachment Q, section II.E.2, of the PJM Tariff, all Market Participants and/or their guarantors must submit audited annual and unaudited quarterly financial statements on an ongoing basis. Compliance with this requirement is necessary to remain eligible to participate in PJM Markets. The financial statements, together with other required information, are reviewed and evaluated by PJM to determine a Market Participant's creditworthiness.

Officers, Authorized Representatives and Maintenance Managers are responsible for uploading the financial statements in the Financials feature of the Membership Management Community. This platform provides a transparent, secure, and centralized process for submission of the financial statements required by PJM.

Annual audited financial statements must be received by PJM no later than 120 days after each fiscal year end. Quarterly financial statements, which may be unaudited, must be received by PJM no later than 60 days after the end of each fiscal quarter. PJM may, upon request, grant an extension if the financial statements are not available within the required timeframe.

If the financial statements are not received by PJM by the required deadlines, PJM may issue a written notice of breach pursuant to PJM Operating Agreement, section 15.1.4. Failure to cure the breach by the required date and time will result in a Default, and PJM may terminate the Market Participant's market access pursuant to section 15.1.5. of the PJM Operating Agreement.

Reference Materials

- Attachment Q; PJM Credit Risk Management Policy
- **PJM Operating Agreement**
- **Membership Management Community**

Logging In to the Membership Management Community

Officers, authorized representatives and maintenance managers can sign in by navigating to the Membership Management Community page on PJM.com and clicking Sign In.

PJM.com homepage > Markets & Operations > PJM Tools > Membership Management Community

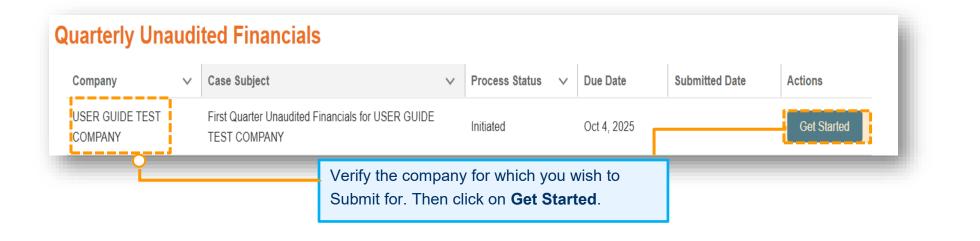
Membership Management Community

Applications

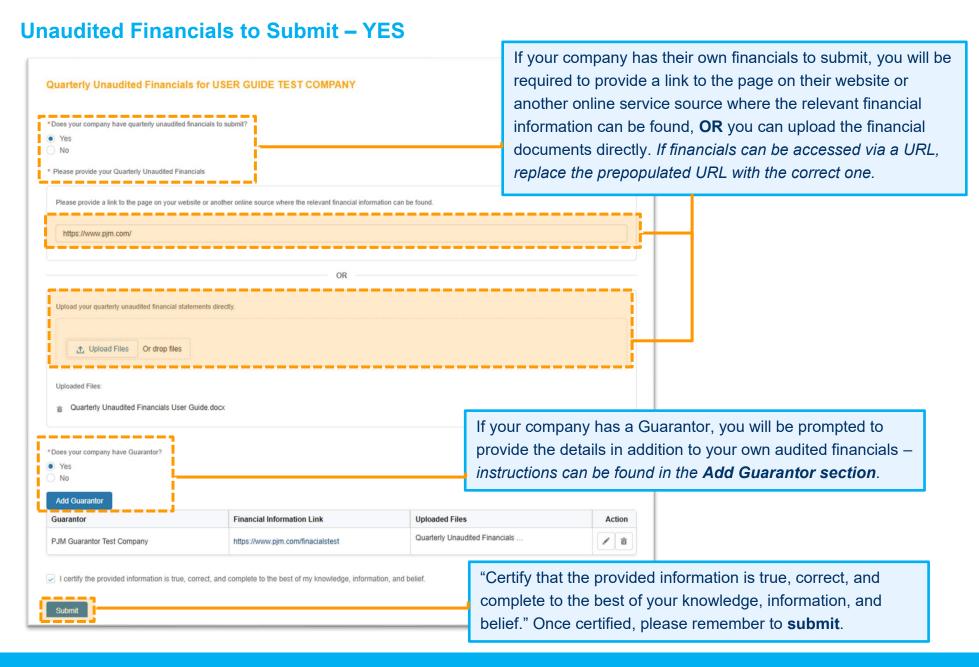
Through the Applications feature in the Membership Management Community, users are able to view and take action on their membership application process and request authorization for other users in the application process, as well as access knowledge articles to assist users in the process. Our goal is to streamline interactions with PJM's Member Support Services to save you time and better serve your needs.

Use your Single Sign On Sign In (SSO) credentials to log in.

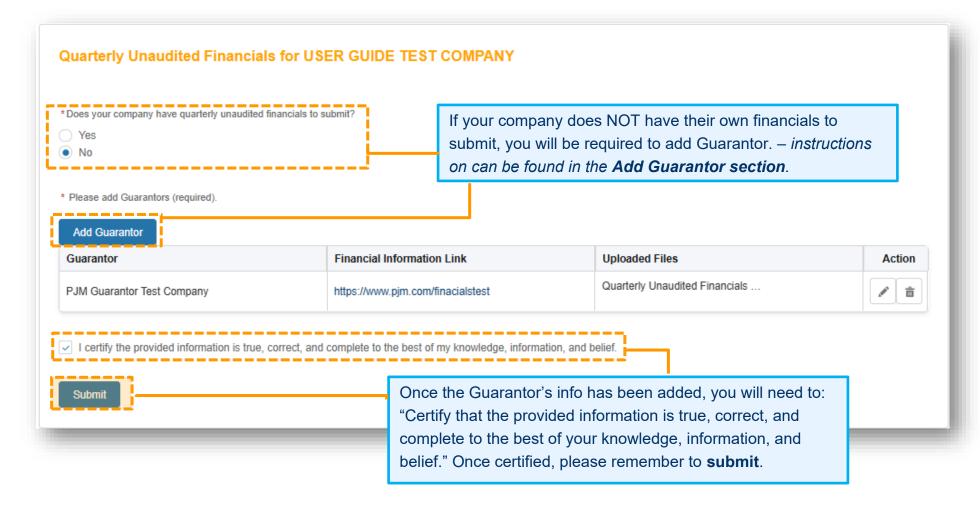




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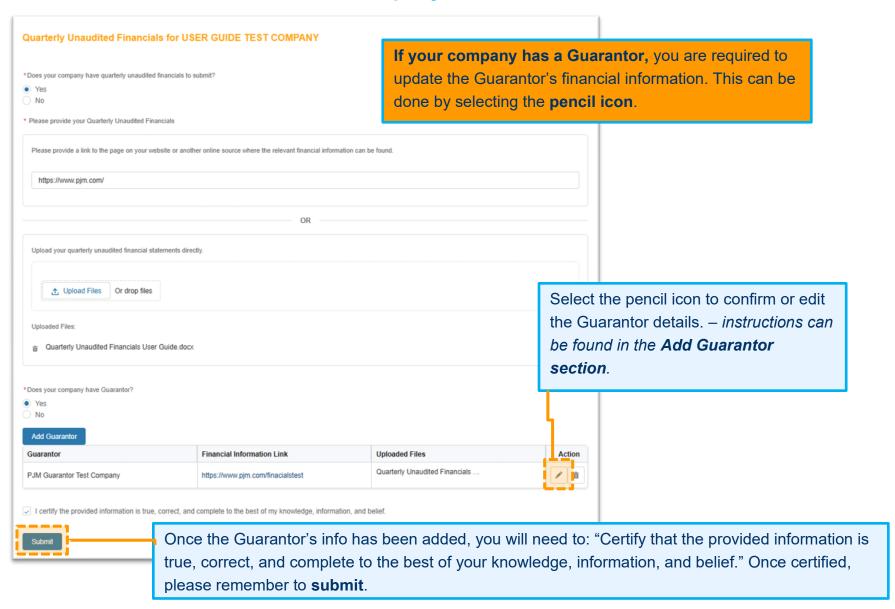


Unaudited Financials to Submit - NO

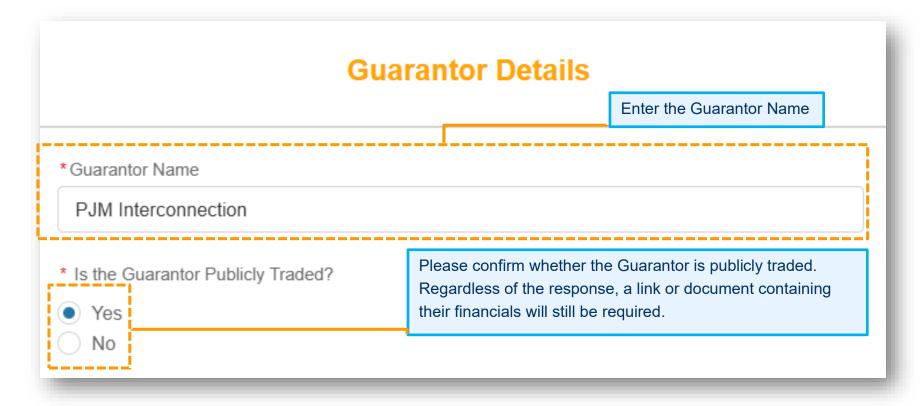


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Unaudited Financials to Submit – Company has Guarantor

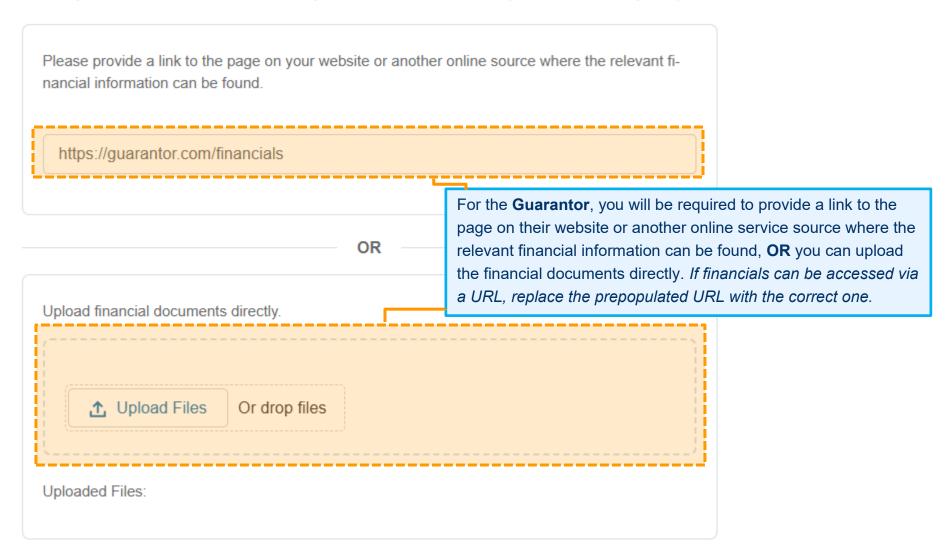


Add Guarantor

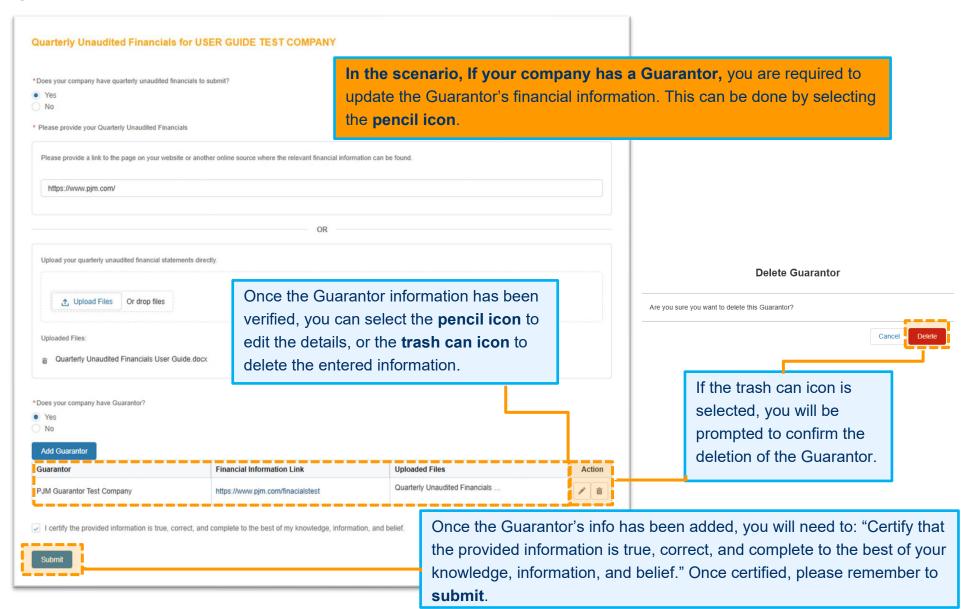


Add Guarantor

Please provide either a financial link or upload financial documents (at least one is required):



Update Guarantor Financials



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