

Informational Update: Alternate Dispute Resolution

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PJM's ADR Procedures

Open Access Transmission Tariff

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12.1 Internal Dispute Resolution Procedures:

Any dispute between a Transmission Customer (1) or New Service Customer (1), an affected Transmission Owner (1), or the Transmission Provider (1) involving transmission or interconnection service under the Tariff (excluding applications for rate changes or other changes to the Tariff, or to any Service Agreement (1) entered into under the Tariff, which shall be presented directly to the Commission (1) for resolution) shall be referred to a designated senior representative of each of the parties to the dispute for resolution on an informal basis as promptly as practicable. In the event the designated representatives are unable to resolve the dispute within thirty (30) days (or such other period as the parties to the dispute may agree upon) by mutual agreement, such dispute may be submitted to arbitration and resolved in accordance with the arbitration procedures set forth below.

Operating Agreement

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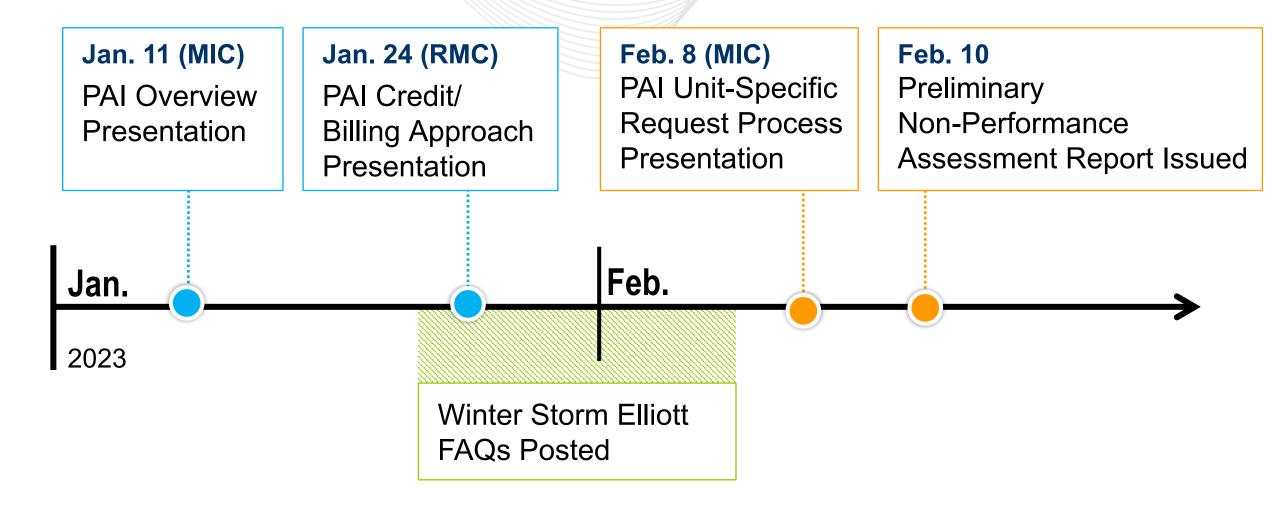


2.1 Common and Uniform Procedures.

The PJM Dispute Resolution Procedures (1) are intended to establish common and uniform procedures for resolving disputes arising under the Related PJM Agreements. To the extent any of the foregoing agreements or the PJM Tariff contains dispute resolution provisions expressly applicable to disputes arising thereunder, however, this Agreement shall not supplant such provisions, which shall apply according to their terms.



PAI Updates & Notice of Review Process





PAI Excusal Request Process

Unit-Specific Request Submissions

Participants with questions or concerns about their unitspecific performance estimates following review of the preliminary MSRS reports submitted their inquiries through the new *PAI Unit-Specific Request Process*.

A new PJM SharePoint (PJM Connect) site was made available for submitting / tracking inquiries and backup documentation.

REQUESTS COVERED:

- Calculation of Actual Performance
- Calculation of Expected Performance
- Excusals for approved outages, economics or manual dispatch
- Calculation of bonuses

MSRS Reports & Supporting Documentation

Market Sellers were required to submit MSRS Report(s) in question and highlight applicable data in the report.

Market Sellers were asked to submit any documentation or data that would help support their request.



Tariff, section 12.1: ADR is only applicable in the context of "any dispute between a Transmission Customer or New Service Customer, an affected Transmission Owner, or the Transmission Provider involving transmission or interconnection service under the Tariff..."

<u>Operating Agreement, Schedule 5, section 2.1</u>: PAI disputes are also precluded from the ADR process, as the underlying penalties do not "aris[e] under the Related PJM Agreements," as set forth in <u>Operating Agreement,</u> <u>Schedule 5, section 1.2</u>.

"Related PJM Agreements" shall mean this Agreement, the Consolidated Transmission Owners Agreement TOA and the Reliability Assurance Agreement.





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