PJM Manual 14B:
PJM Region Transmission Planning Process

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Section A: About Critical Energy Infrastructure Information (CEII)

Section A.1: CEII Definition

PJM adopts the Federal Energy Regulatory Commission’s (“FERC” or “Commission”) definitions of Critical Energy Infrastructure Information (“CEII”) and Critical Infrastructure at 18 CFR §388.113 (c) as follows:

(1) Critical Energy Infrastructure Information means specific engineering, vulnerability, or detailed design information about proposed or existing critical infrastructure that:

   (i) Relates details about the production, generation, transportation, transmission, or distribution of energy;

   (ii) Could be useful to a person in planning an attack on critical infrastructure;

   (iii) Is exempt from mandatory disclosure under the Freedom of Information Act, 5 U.S.C. 552; and

   (iv) Does not simply give the general location of the critical infrastructure.

(2) Critical Infrastructure means existing and proposed systems and assets, whether physical or virtual, the incapacity or destruction of which would negatively affect security, economic security, public health or safety, or any combination of those matters.

Section A.2: Introduction

Section A.2.1: General Intent

PJM’s intent is to provide a process for eligible recipients to access CEII consistent with the Commission’s standards for handling CEII material. PJM information that contains CEII can only be obtained by complying with PJM’s CEII authorization process.

Section A.2.2: Examples of CEII

The Commission considers certain information to be CEII. For example, information filed in the FERC-715, Part 2, Part 3, and Part 6 (http://www.ferc.gov/legal/ceii-foia/ceii.asp) is considered by the FERC to be CEII. This information contains electrical models, detailed one-line diagrams and analysis of the filer’s actual transmission system including potential weaknesses of the filer’s transmission system. PJM treats all such power flow and associated system modeling data as CEII. This includes all power flow models that are developed using or including data
and related information used in transmission system analysis such as contingency and monitored element files. Power flows specifically configured for short circuit analysis that do not contain load and generation dispatch are not considered CEII. Other information may also qualify as CEII under the Commission’s definitions.

**Section A.2.3: Rules When CEII Includes Confidential Member Information**

Regarding all types of PJM information, additional consideration must be given to whether or not PJM received or originated the information as confidential information prior to decisions regarding its release. Confidential information is governed by the PJM Operating Agreement Section 18.17 and the Open Access Transmission Tariff Sections 222-223. Certain information is a combination of CEII information filed or provided by a number of “owners” and may include confidential information. To the extent CEII material sought from PJM includes confidential information of a PJM Member, including PJM Transmission Owners or Generation Owners, PJM will require the requester to demonstrate the affected members give consent to the release of the confidential information contained within the CEII material by PJM to comply with the Tariff and Operating Agreement. Power flows may, but generally do not, contain confidential information. Some PJM power flows are special cases that contain both confidential information and CEII. For example, PJM power flows originating from system operations and used for near term operational studies often contain confidential information in addition to CEII. Confidential information of members, if any, may be redacted prior to release of CEII if the CEII requester is unable to demonstrate to PJM that the affected members give consent to the release of the confidential material.

**Section A.2.4: Reservation of Rights to Amend CEII Rules**

PJM reserves the right to revise its process from time-to-time, to limit access to CEII as may be appropriate in any specific instance in accordance with PJM’s manual revision procedures posted on PJM.com.

**Section A.3: PJM CEII Rules**

**Section A.3.1: CATEGORIES OF PJM CEII REQUESTERS PROCEDURES**

A.3.1.1 **Authorized Entities Procedures:** The process to request CEII from PJM is as follows for an employee or authorized agent/consultant of: (i) a PJM Member; (ii) a PJM Transmission Owner; (iii) a PJM Generation Owner or operator of generating units in the PJM Region; (iv) a NERC registered Transmission Owner/Operator; (v) a PJM Interconnection Customer; (vi) another RTO or similar independent system operator recognized by the Federal Energy Regulatory Commission; (vii) a NERC Planning Coordinator or Transmission Planner; (viii) a Nonincumbent Developer pre-qualified to be a Designated Entity pursuant to Schedule 6 of the Operating Agreement; or (viii) a natural gas local distribution company and/or a natural gas pipeline operator serving customers within the PJM Region (individually “Authorized Entity” and together “Authorized Entities”). The process outlined below
allows for individual employees or individual authorized consultants of Authorized Entities to obtain CEII. PJM’s procedures set forth below allow an organization to submit requests on behalf of multiple individuals within Authorized Entities.

Except in the case of Organizational CEII requests described below, each individual requester of CEII from employees or authorized agents/consultants of Authorized Entities must complete a PJM CEII Request Form and must execute the appropriate PJM CEII Nondisclosure Agreement (“NDA”). Employee or authorized agent/consultant or an Authorized Entity must submit a PJM CEII Authorization Form (in addition to the requester’s completed PJM CEII Request Form and appropriate PJM CEII NDA) that identifies each individual agent/consultant who may make individual requests for PJM CEII on behalf of such entity. The PJM CEII Authorization Form and CEII NDA are located on the PJM website at: http://www.pjm.com/documents/ferc-manuals/ceii.aspx.

Once the CEII requester has been verified by PJM as a legitimate CEII requester (i.e., a legitimate employee or authorized consultant of one of the organizations listed in paragraph A.3.1.1 above), such CEII requester may obtain CEII.

Organizational CEII Requests: Authorized Entities may enter into an organizational agreement with PJM which will allow the receiving organization to share CEII information under the terms of an applicable PJM CEII NDA an example of which is located on the PJM website at: http://www.pjm.com/documents/ferc-manuals/ceii.aspx; however, PJM may use other forms of organizational CEII NDAs as appropriate.

Such organizational NDA will require individual recipients of CEII material to be listed and sign an attachment to the NDA which will require each individual to acknowledge his or her understanding of the restrictions on the use of CEII or further disclosures except as allowed under the terms of the organizational NDA. Each organization is required to keep the list of authorized individual recipients up to date and notify PJM in writing of any changes to the status of the authorized individual recipients in accordance with the applicable NDA.

A.3.1.2 Federal Agency and NERC Procedures: If the requester of CEII material is a representative of FERC, Department of Energy, Department of Homeland Security, NERC or a NERC Regional Entity (e.g. RF, SERC, etc.), PJM will release the information if PJM confirms that the requestor (requestors) are employees of these agencies and the CEII material is subject to the agencies rules of procedures applicable to CEII.

A.3.1.3 PJM Authorized State Commission: The process to request CEII from PJM is as follows for an employee of a PJM Authorized State Commission.

i. After such CEII requester has been verified by PJM as a legitimate CEII requester (i.e., a legitimate employee of one of the governmental organizations listed above), such CEII requester may obtain the requested CEII.

A.3.1.4 Procedures Applicable to Other CEII Requests: The process to request CEII from PJM is as follows for any other requester seeking CEII from PJM:

a. Each individual requester of CEII must complete a PJM CEII Request Form and must execute an appropriate PJM CEII NDA. Where the individual requester of CEII is an authorized agent/consultant for another entity, then an authorized employee of such entity must submit a PJM CEII Authorization Form (in addition to the requester’s completed PJM CEII Request Form and the appropriate PJM CEII NDA) that identifies each individual agent(s)/consultant(s) who may make individual requests for PJM CEII on behalf of such entity. The PJM CEII Authorization Form is located on the PJM website at: http://www.pjm.com/documents/ferc-manuals/ceii.aspx.

i. Upon receiving all completed required CEII forms, PJM will determine if the requested information is CEII, and, if it is, whether to release the CEII to the requester. PJM will use the information provided by the requester in the PJM CEII Request Form to (1) establish whether a requester has presented a legitimate need for the CEII; and (2) weigh the need for the CEII against the potential harmful effects of its release. In reviewing the request from such individual, PJM will confirm the authenticity of the CEII requester and whether the request is consistent with the requestor’s business or educational interest as determined from a review of publicly available data such as the requestor’s website. If PJM is unable to determine from publicly available information that the request is consistent with the requestor’s business or educational interest in such data, the request will be denied. A requester shall provide additional information (beyond the PJM CEII Request Form) to PJM upon PJM’s request.